

Unitholder Identity Verification Form



Responsible Entity
CorVal Partners Limited
ABN 86 130 628 830 AFS licence no 326118

The *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) requires issuers to confirm the identity of each Applicant. If the Responsible Entity is not able to confirm an Applicant's identity, it may not be able to issue units to that Applicant. You will need to provide different supporting documentation depending upon the kind of investor you are.

Please write clearly using block letters

Name of investor

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Residential address (PO Box not acceptable)

Suburb	State	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>

Date of birth (day/month/year)

 / /

Each document provided with this form must be certified by an acceptable certifier. We will not accept photocopies or faxes of a certification.

The certifier is required to complete the following details

Name of certifier

Title	Given name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>

Certifier telephone contact details

Office	Home	Mobile
<input type="text"/>	<input type="text"/>	<input type="text"/>

Category of certifier (see overleaf for list of acceptable certifiers)

Tick the following statement that applies to the certifier:

- I certify that this page and the following pages are true and correct copies of the original document which I have sighted
- OR
- I certify this is a true and correct copy of the original document which I have sighted (for use where there is only a single page)

Signature

Date of certification (day/month/year)

Acceptable certifiers

- a legal practitioner enrolled in a Supreme Court of a State or Territory or the High Court of Australia
- a judge of a court
- a magistrate
- a chief executive officer of a Commonwealth court
- a registrar or deputy registrar of a court
- a Justice of the Peace
- a notary public
- a police officer
- an agent of the Australian Postal Corporation who is in charge of an office supplying postal services
- a permanent employee of the Australian Postal Corporation who supplies postal services
- an Australian consular officer or an Australian diplomatic officer
- an officer with 2 or more continuous years service with one or more financial institutions
- a finance company officer with 2 or more continuous years service with one or more finance companies
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more years continuous service with one or more licensees
- a member of the Institute of Chartered Accountants in Australia, CPA Australia, or the National Institute of Accountants with 2 or more years continuous membership

To check the type of document you will need please use the following checklist. If you are:

- an individual who has applied for units, please go to **section A**.
- an individual who has applied for units as a Trustee, please go to **section B**.
- a company which has applied for units, please go to **section C**.
- a company which has applied for units as a Trustee, please go to **section D**.

A. Documents of an Individual

Complete Part I. If the individual does not own a document from Part I, please complete Part II or III.

Part I – Acceptable Primary ID Documents

Select ONE valid option from this section only

✓ Please tick

- Australian State/Territory driver's licence containing a photograph of the person
- Australian passport (a passport that has expired within the preceding 2 years is acceptable)
- Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- Foreign passport or similar travel document containing a photograph and the signature of the person*

* Documents that are written in a language other than English must be accompanied by an English translation prepared by an accredited translator

Part II – Acceptable Secondary ID Documents (should only be completed if the individual does not own a document from Part I)

Select ONE valid option from this section only

✓ Please tick

- Australian birth certificate
- Australian citizen certificate
- Pension card issued by Centrelink
- Health card issued by Centrelink

AND ONE valid option from this section

✓ Please tick

- A document issued by the Commonwealth or State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address
- A document issued by a local government body or utilities provider within the preceding three months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- If under the age of 18, a notice that:
 - was issued to the customer by a school principal within the preceding three months; and

- contains the customer's name and residential address; and
- records the period of time that the customer attended that school

Part III – Acceptable Foreign ID Documents (should only be completed if the individual does not own a document from Part I)

BOTH documents from this section must be presented

✓ Please tick

- Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth*
- National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

*Documents that are written in a language other than English must be accompanied by an English translation prepared by an accredited translator.

B. Individual who holds Securities as a Trustee

If the trust is:

1. a registered managed investment scheme;
2. a managed investment scheme that only has wholesale clients and does not make small scale offerings;
3. a government superannuation fund established by legislation; or
4. registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust.

You will need:

✓ Please tick

- an original certified copy of an extract of the trust deed showing the trust's name **AND**
- an original certified copy of a document that indicates that the trust is a registered managed investment scheme or only has wholesale clients and does not make small scale offerings or is a government superannuation fund established by legislation or is registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust **AND**

One of the following documents:

- an original certified copy of your driver's licence.
Your driver's licence must be current and contain a photograph of you. Please make a copy of both the front and back of the driver's licence
OR
- an original certified copy of your passport.
Your passport must be current or have expired no more than two years before the date of this form. The passport must contain a photograph of you.
If your passport is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

If the trust is not:

1. a registered managed investment scheme;
2. a managed investment scheme that only has wholesale clients and does not make small scale offerings;
3. a government superannuation fund established by legislation; or
4. registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust.

You will need:

✓ Please tick

- an original certified copy of an extract of the trust deed showing the trust's name **AND**
- an original certified copy of a bank statement in the name of the trust issued within the last 12 months **OR**
- an original certified copy of a letter from an accountant or solicitor dated within the last 12 months confirming the name and existence of the trust **AND**

One of the following documents:

- an original certified copy of your driver's licence.
Your driver's licence must be current and contain a photograph of you. Please make a copy of both the front and back of the driver's licence
OR
- an original certified copy of your passport.
Your passport must be current or have expired no more than two years before the date of this form. The passport must contain a photograph of you.
If your passport is written in a language other than English, it must be accompanied by an English translation prepared by an accredited translator.

C. Documents of a Company

You will need:

✓ Please tick

- an original certified copy of the Certificate of the Registration of the Company as issued by ASIC.

D. Company which holds Securities as a Trustee

If you are a company and incorporated in Australia, **AND**

If the trust is:

1. a registered managed investment scheme;
2. a managed investment scheme that only has wholesale clients and does not make small scale offerings;
3. a government superannuation fund established by legislation; or
4. registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust.

You will need:

✓ Please tick

- an original certified copy of the Certificate of the Registration of the Company as issued by ASIC **AND**
- an original certified copy of an extract of the trust deed showing the trust's name **AND**
- an original certified copy of a document that indicates that the trust is a registered managed investment scheme or only has wholesale clients and does not make small scale offerings or is a government superannuation fund established by legislation or is registered and subject to the regulatory oversight of a Commonwealth statutory regulator to its activities as a trust.

If the trust is not:

1. a registered managed investment scheme;
2. a managed investment scheme that only has wholesale clients and does not make small scale offerings;
3. a government superannuation fund established by legislation; or
4. registered and subject to the regulatory oversight of a Commonwealth statutory regulator in relation to its activities as a trust.

You will need:

✓ Please tick

- an original certified copy of the Certificate of the Registration of the Company as issued by ASIC **AND**
- an original certified copy of an extract of the trust deed showing the trust's name **AND**
- an original certified copy of a bank statement in the name of the trust issued within the last 12 months **OR**
- an original certified copy of a letter from an accountant or solicitor dated within the last 12 months confirming the name and existence of the trust.